Stomatal conductance in JSBACH New insight from optimization

- 1. Theoretical Basis
- 2. Photosynthesis in JSBACH
 - 3. Where Do We Stand?
 - 4. Issues
 - 5. Symbols
 - 6. References

Our article (Dewar et al. 2017) presents a variety of stomatal control functions for 12 different combinations of an optimization hypothesis and a photosynthesis model.

Our article (Dewar et al. 2017) presents a variety of stomatal control functions for 12 different combinations of an optimization hypothesis and a photosynthesis model.

All of these combinations are special cases of a generic photosynthesis function.

Our article (Dewar et al. 2017) presents a variety of stomatal control functions for 12 different combinations of an optimization hypothesis and a photosynthesis model.

All of these combinations are special cases of a generic photosynthesis function.

The generic photosynthesis function is of the form:

$$A = f \frac{c_c - \Gamma_*}{c_c + \gamma}$$

The hypotheses are called CAP, MES, LC (least-cost) and CF (Cowan & Farquhar). We'll concentrate on CAP, in which non-stomatal limitations affect photosynthesis directly.

The hypotheses are called CAP, MES, LC (least-cost) and CF (Cowan & Farquhar). We'll concentrate on CAP, in which non-stomatal limitations affect photosynthesis directly.

The photosynthesis models are the two branches of the Farquhar model (light and Rubisco-limited) and the bisubstrate model. We'll concentrate on a fourth model developed by Vico et al. (2013) that combines the two Farquhar branches by interpolation.

The hypotheses are called CAP, MES, LC (least-cost) and CF (Cowan & Farquhar). We'll concentrate on CAP, in which non-stomatal limitations affect photosynthesis directly.

The photosynthesis models are the two branches of the Farquhar model (light and Rubisco-limited) and the bisubstrate model. We'll concentrate on a fourth model developed by Vico et al. (2013) that combines the two Farquhar branches by interpolation.

Basically this reduces to a version of the bisubstrate model, so the solution is very close to Case 1 in the article.

The generic photosynthesis function is of the form:

$$A = f \frac{c_c - \Gamma_*}{c_c + \gamma}$$

When using CAP and Vico's model, we make the following substitutions:

$$f = \phi \frac{J(Q)}{4}$$

$$\gamma = \frac{J(Q)k_m}{4V_{cmax}}$$

$$c_c = c_i$$

Our **specific photosynthesis function**, together with the larger framework in the manuscript, enables an analytical solution of stomatal conductance.

Our **specific photosynthesis function**, together with the larger framework in the manuscript, enables an analytical solution of stomatal conductance.

Unfortunately it looks something like this:

$$g_{s} = \frac{\frac{J(Q)}{\left(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*}\right)} \left(1 - \frac{\Psi_{soil}}{\Psi_{0}}\right)}{(1 + \sqrt{\frac{1.6DJ(Q)}{K|\Psi_{0}|(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*})}}\right) \left(\frac{\frac{1.6DJ(Q)}{K|\Psi_{0}|(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*})}}{1 + \sqrt{\frac{1.6DJ(Q)}{K|\Psi_{0}|(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*})}}}\right) \left(\frac{\frac{C_{a} - \Gamma_{*}}{J(Q)k_{m}}}{\frac{J(Q)k_{m}}{4V_{cmax}} + \Gamma_{*}}}\right) \left(\frac{\frac{J(Q)k_{m}}{4V_{cmax}} + \Gamma_{*}}{1 + \sqrt{\frac{1.6DJ(Q)}{K|\Psi_{0}|(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*})}}}\right)} \left(\frac{\frac{J(Q)k_{m}}{4V_{cmax}} + \Gamma_{*}}{1 + \sqrt{\frac{1.6DJ(Q)}{K|\Psi_{0}|(\frac{J(Q)k_{m}}{V_{cmax}} + 4\Gamma_{*})}}}\right)}\right)$$

The exact solution for stomatal conductance is a function of many variables:

 $g_s = g_s(C_a, D, K, Q, \Gamma_*, \Psi_{0}, \Psi_{soil}, Farquhar parametres)$

The exact solution for stomatal conductance is a function of many variables:

$$g_s = g_s(C_a, D, K, Q, \Gamma_*, \Psi_{0, \Psi_{soil}}, Farquhar parametres)$$

Most of these should be relatively simple in terms of implementation in land surface models. However, with JSBACH, there are a couple of troublemakers.

Here you have a "simplified" version:

$$\begin{split} g_{s} &= \frac{J(Q)}{4(\gamma + \Gamma_{*})} \frac{x(1 - \frac{\Psi_{s}}{\Psi_{0}})}{xz_{CAP} + (1 - x)(\frac{x(C_{a} - \Gamma_{*})}{\gamma + \Gamma_{*}} + 1)} \\ x &= \frac{1}{1 + \sqrt{z_{CAP}}} \\ z_{CAP} &= \frac{1.6 D J(Q)}{4 K |\Psi_{0}|(\gamma + \Gamma_{*})} \\ \gamma &= \frac{J(Q) k_{m}}{4 V_{cmax}} \end{split}$$

In general, the C3 photosynthesis functions in JSBACH work like the Farquhar model: we have a light-limited regime and a Rubisco-limited regime, and both predict a photosynthetic rate.

The actual predicted rate is the smaller of these two.

In general, the C3 photosynthesis functions in JSBACH work like the Farquhar model: we have a light-limited regime and a Rubisco-limited regime, and both predict a photosynthetic rate.

The actual predicted rate is the smaller of these two.

(The Vico approach is a sort of an interpolation between the two.)

Recent versions of JSBACH use the BETHY approach to drought. This means that first an unstressed stomatal conductance is calculated, i.e. a well-watered situation.

Then a second, water-stressed conductance is calculated based on this unstressed one. This is done with a drought factor related to soil water content.

As it happens, our drought factor is based on soil water *potential*, not soil water *content*.

This requires some adaptation (see for instance Duursma et al. 2008) and a few new parametres:

$$\Psi_{soil} = \Psi_e \left(\frac{\theta}{\theta_{sat}}\right)^{-b}$$

Also, our solution depends on soil-to-leaf hydraulic conductance, which doesn't exist in the world of JSBACH.

Also, our solution depends on soil-to-leaf hydraulic conductance, which doesn't exist in the world of JSBACH.

This requires some more adaptation (see again Duursma et al. 2008) and a few more new parametres:

$$\frac{1}{K} = \frac{1}{K_{xylem}} + \frac{1}{K_{soil}}$$

$$K_{xylem} = constant$$

$$K_{soil} = \frac{R_1}{LAI} \frac{2\pi k_{sat}}{-\log(r_{root}\sqrt{(\pi L v)})} \left(\frac{\theta}{\theta_{sat}}\right)^{2b+3}$$

There are many new parametres that have to be specified for different plants and environments.

On the other hand, an exact analytical solution may simplify the architecture of the photosynthesis module: for instance, one stomatal conductance can be calculated instead of four.

Also, certain mysterious "empirical constants" now have a meaning.

The theoretical basis seems more or less good to go.

The implementation into the actual code is in an early phase.

There's still work to be done in mastering the model software, data handling etc.

Things to try:

Only modify the stomatal conductance function, i.e.
 make a slight modification to the Unified Stomatal
 Optimization model by Medlyn et al. (2011).

Things to try:

- Only modify the stomatal conductance function, i.e.
 make a slight modification to the Unified Stomatal
 Optimization model by Medlyn et al. (2011).
- Modify the stomatal conductance function as well as the photosynthesis function.

Things to try:

- Only modify the stomatal conductance function, i.e.
 make a slight modification to the Unified Stomatal
 Optimization model by Medlyn et al. (2011).
- Modify the stomatal conductance function as well as the photosynthesis function.
 - Modify both of these as well as the drought function.

The modified models will be run for two 1 km² grid cells that represent pine stands in Hyytiälä and Sodankylä.

Later on, we're planning to do the same for the Aleppo pine stand in Yatir, Israel.

If you have some datasets for this purpose, we'd be eager to use them when the time comes!

These modified model runs will be compared to unmodified JSBACH runs in terms of simulated values for ET, GPP and some internal cost functions included in JSBACH, along the lines of Knauer et al. (2015).

When possible, we'll also compare the fitted parametres in existing stomatal control functions to the well-determined values predicted by our solution.

Fitted vs. predicted

Our model predicts values for parametres that in existing models are fitted. This is not quite a fair comparison. We'll compare our predictions with typical fitted values, but **is this enough?**

Another solution could be to treat a portion of the soil-to-root hydraulic conductance or the leaf critical water potential as a fitted parametre and see if it gets realistic values. Or just insert an ad hoc factor somewhere, fit it and hope it comes close to one.

Field values vs. model simplifications

We have parametre values for Hyytiälä that differ from those determined by JSBACH. LAI is a typical example: JSBACH has its own algorithms for determining LAI for any grid cell, but it's quite far from the one that's widely in use for Hyytiälä. Which one to use?

Also, some of the parametre values (e.g. xylem conductivity) are more like general, realistic estimates than Hyytiälä-specific, measured values.

Soil layers and water

JSBACH currently uses five soil layers for water status analysis (although this can be adjusted if needed). Our model is currently using just one value for soil water potential. That is, our model is a coarse simplification of root water uptake. Is this a problem?

Critical water potentials

Our model uses critical leaf water potential as a parametre. JSBACH in turn uses a "permanent wilting point" soil water content, that's the same for all plants but varies with soil type and can be deduced from literature. A critical soil water potential can be calculated from the PWP soil water content, but I haven't checked the conversion to leaf water potential, and the interpretation of a PWP is unclear. (Apparently the origin of the PWP value is the equivalent of a soil water potential of -1.5 MPa.) Is this worrying?

5. Symbols

Symbol	Variable	Unit
A	photosynthesis rate	mol m ⁻² s ⁻¹
C_i	intercellular CO2 concentration	mol mol⁻¹
C_a	ambient CO2 concentration	mol mol⁻¹
D	VPD	mol mol⁻¹
$\boldsymbol{g}_{\mathrm{s}}$	stomatal conductance	mol m ⁻² s ⁻¹
J(Q)	electron transport rate (as in Farquhar model)	$mol \ m^{-2} \ s^{-1}$
$k_{\scriptscriptstyle m}$	Michaelis-Menten coefficient for Rubisco- limited Farquhar model	mol mol ⁻¹

5. Symbols

Symbol	Variable	Unit
K	xylem hydraulic conductance (soil-to-leaf)	mol m ⁻² s ⁻¹ MPa ⁻¹
Q	incident PAR	$mol \ m^{-2} \ s^{-1}$
$V_{\it cmax}$	carboxylation capacity	$mol \ m^{-2} \ s^{-1}$
Γ_*	CO2 compensation point	mol mol⁻¹
ϕ	drought factor	-
Ψ_0	critical leaf water potential	MPa (negative)
Ψ_{soil}	soil water potential	MPa (negative)

5. Symbols

Symbol	Variable	Unit
b	parametre of the soil water retention curve	-
K_{soil}	leaf area specific soil hydraulic conductance	mol m^{-2} s^{-1} MPa ⁻¹
K_{xylem}	leaf area specific xylem hydraulic conductance	mol m^{-2} s^{-1} MPa ⁻¹
k_{sat}	saturated bulk soil hydraulic conductivity	mol m ⁻¹ s ⁻¹ MPa ⁻¹
$L_{ m v}$	root length density	m m-3
LAI	leaf area index (all-sided)	-
r_{root}	mean radius of water-absorbing roots	m
R_1	root length index	m m ⁻²
Ψ_e	soil water potential at saturation	MPa (negative)

6. References

Dewar RC, Mauranen A, Mäkelä A, Hölttä TS, Medlyn BE, Vesala TV. 2017. New insights into the covariation of stomatal, mesophyll and hydraulic conductances from optimisation models incorporating non-stomatal limitations to photosynthesis. New Phytologist 217: 571-585.

Duursma RA, Kolari P, Perämäki M, Nikinmaa E, Hari P, Delzon S, Loustau D, Ilvesniemi H, Pumpanen J, Mäkelä A. 2008. Predicting the decline in daily maximum transpiration rate of two pine stands during drought based on constant minimum leaf water potential and plant hydraulic conductance. *Tree Physiology* 28:265-276.

Knauer J, Werner C, Sönke Z. 2015. Evaluating stomatal models and their atmospheric drought response in a land surface scheme: A multibiome analysis. *J. Geophys. Res. Biogeosci.* **120:** 1894-1911

6. References

Medlyn BE, Duursma R, Eamus D, Ellsworth DS, Prentice IC, Barton CVM, Crous K, De Angelis P, Freeman M, Wingate L. 2011. Reconciling the optimal and empirical approaches to modelling stomatal conductance. *Global Change Biology* 17: 2134-2144 (corrigendum 18: 3476)

Vico G, Manzoni S, Palmroth S, Weih M, Katul GG. 2013.A perspective on optimal leaf stomatal conductance under CO2 and light co-limitations. *Agricultural and Forest Meteorology* **182-183**: 191-199

7. Extra

The Unified Stomatal Optimization (USO) function for stomatal control as developed by Medlyn et al. (2011) looks like this:

$$g_s \approx g_0 + \left(1 + \frac{g_1}{\sqrt{D}}\right) \frac{A}{C_a}$$

Our model gives an interpretation to their parametre g_1 (at sufficiently high C_a and low g_0) as such:

$$g_1 = \sqrt{\frac{K|\Psi_0|}{1.6} (\frac{k_m}{V_{cmax}} + \frac{4\Gamma_*}{J(Q)})}$$